

# Influence of Mo on the Fe:Mo:C nanocatalyst thermodynamics for single-walled carbon nanotube growth

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We explore the role of Mo in Fe:Mo nanocatalyst thermodynamics for low-temperature chemical-vapor deposition growth of single-walled carbon nanotubes (SWCNTs). By using the size-pressure approximation and *ab initio* modeling, we prove that for both Fe-rich ( $\sim 80\%$  Fe or more) and Mo-rich ( $\sim 50\%$  Mo or more) Fe:Mo clusters, the presence of carbon in the cluster causes nucleation of  $\text{Mo}_2\text{C}$ . This enhances the activity of the particle since it releases Fe, which is initially bound in a stable Fe:Mo phase, so that it can catalyze SWCNT growth. Furthermore, the presence of small concentrations of Mo reduces the lower size limit of low-temperature steady-state growth from  $\sim 0.58$  nm for pure Fe particles to  $\sim 0.52$  nm. Our *ab initio*-thermodynamic modeling explains experimental results and establishes a direction to search for better catalysts.

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## I. INTRODUCTION

Critical factors for the efficient growth of single-walled carbon nanotubes (SWCNTs) via catalytic chemical-vapor deposition (CCVD)<sup>1-3</sup> are the compositions of the interacting species (feedstock, catalyst, support<sup>4-10</sup>), the preparation of the catalysts, and the synthesis conditions.<sup>11-18</sup> Efficient catalysts must have long active lifetimes (with respect to feedstock dissociation and nanotube growth), high selectivity and be less prone to contamination.<sup>19-23</sup> Common factors that lead to reduction in catalytic activity are deactivation (i.e., chemical poisoning or coating with carbon), thermal sintering (e.g., caused by highly exothermic reactions on the clusters surface,<sup>13,14,24</sup> with insufficient heat transfer<sup>25,26</sup>) and solid-state reactions (nucleation of inactive phases in the cluster<sup>22,23,25</sup>).

Metal alloy catalysts, such as Fe:Co, Co:Mo and Fe:Mo, improve the growth of CNTs,<sup>4,10,20,27-31</sup> because the presence of more than one metal species can significantly enhance the activity of a catalyst,<sup>20,32-34</sup> and can prevent catalyst particle aggregation.<sup>20,31-33</sup> In the case of Fe:Mo nanoparticles supported on  $\text{Al}_2\text{O}_3$  substrates, the enhanced catalyst activity has been shown to be larger than the linear combination of the individual Fe/ $\text{Al}_2\text{O}_3$  and Mo/ $\text{Al}_2\text{O}_3$  activities.<sup>20,27,28</sup> This is explained in terms of substantial intermetallic interaction between Mo, Fe and C<sup>20,35,36</sup> which is congruent with previously observed solid-state reactions between these elements. In fact, the addition of Mo in mechanical alloying of powder Fe and C mixtures<sup>37</sup> promotes solid-state reactions even at low Mo concentrations by forming ternary phases, such as the  $(\text{Fe},\text{Mo})_{23}\text{C}_6$  type carbides.<sup>37</sup>

The way in which carbon interacts with transition metals depends on the metal species. Fe and Co belong to the “carbon dissolution-precipitation mechanism” group, where relatively large fractions of carbon dissolve into the cluster before stable carbides are formed, while Mo belongs to the

“carbide formation-decomposition” group where carbide formation occurs rapidly at low carbon concentrations.<sup>38</sup> These mechanisms are governed by the interplay between solubility of C in the metal matrix (interstitial and/or substitutional defects) and the ease of metal carbide formation. Although relating C solubility and catalytic ability of metal catalysts is not simple (factors like temperature, diffusion, kinetics and particle size have to be considered<sup>22,23</sup>), metals which form carbides can be efficient catalysts as long as they offer sufficient carbon solubility, even if the formation of the carbides interferes with the SWCNT growth, as is the case of Fe.<sup>22,23</sup> Co can dissolve a relatively large fraction of carbon C and does not form stable ordered carbides, whereas Mo has limited C solubility and forms several stable carbides (even at low temperature). Similar to Co, Fe can dissolve a relatively large fraction of C (at least in the  $\gamma$  phase), but concomitantly it forms carbides at temperatures relevant to SWCNT growth ( $\text{Fe}_3\text{C}$ ).<sup>35,36</sup> Nucleation of  $\text{Fe}_3\text{C}$  and subsequent loss of C solubility<sup>20</sup> can be used to identify the thermodynamic lower limit for the CVD growth of very thin SWCNTs from Fe nanoparticles.<sup>22,23</sup> Thus, for efficient CVD growth from Fe, Mo, Fe:Mo and Co:Mo clusters, the particles must be small (dispersed) and metallic (preserved from carbidization). In fact, active catalyst species in Co:Mo catalysis are also small metallic species<sup>32,33</sup> with Mo added to limit particle aggregation by forming molybdate species which are later reduced to give small metallic Co:Mo nanoparticles. It has been shown that disruption of the Co-Mo interactions leads to a lower SWCNT growth efficiency.<sup>39</sup>

The use of alloys instead of pure metals provides additional degrees of freedom, such as fractions of the metal species, which has *chemical* and *thermodynamic* advantages. The chemical advantages arise since the fractions of constituent species can be tailored to enhance catalytic performance that can be measured by the yield and quality of nanotubes.<sup>32-34</sup> It has been found that low Fe:Mo ratios are

favorable for growing SWCNTs (on  $\text{Al}_2\text{O}_3$  substrates) since the presence, after activation, of the phase  $\text{Fe}_2(\text{MoO}_4)_3$  can lead to the formation of small metallic clusters<sup>34</sup> [the best compromise between catalytic activity and SWCNT selectivity was found to be  $\text{Fe}_{3.5}\text{Mo}_{11.5}$  (Ref. 34)]. High fractions of Fe in Fe:Mo lead to formation of larger particles during the reduction step which are inactive for SWCNT growth, unless precautions are taken to avoid excessive sintering<sup>20</sup> (no formation of molybdate species has been reported when using  $\text{CH}_4$  feedstock with Fe:Mo catalysts<sup>20</sup> and hence the chemical role of Mo in Fe:Mo is different from that in Co:Mo). The thermodynamic advantages are revealed when considering the vapor-liquid-solid model (VLS), which is the most probable mechanism for CNT growth.<sup>12,20,40</sup> The metallic nanoparticles are very efficient catalysts when they are in the liquid or viscous states,<sup>20</sup> probably because one has considerable carbon bulk diffusion in this phase (compared to surface or subsurface diffusion). Generally, unless stable intermetallic compounds form, alloying metals reduce the melting point below those of the constituents.<sup>35,36</sup> This happens, for instance, with the addition of small fractions of Mo to Fe. Hence, to enhance the yield and quality of nanotubes, one can tailor the composition of the catalyst particle to move its *liquidus* line below the synthesis temperature.<sup>20</sup> However, identifying the perfect alloy composition is non-trivial. In fact, the presence of more than two metallic species allows for the possibility of different carbon pollution mechanisms by allowing thermodynamic promotion of ternary carbides. So far, due to the very complex interplay between competing phases at the nanoscale (even for pure Fe particles<sup>22,23</sup>), the search for the proper catalyst composition has been empirical.<sup>20,32–34,39</sup>

In the present paper we address the interaction between C and Mo:Fe nanoparticles. We use thermodynamic and quantum mechanical results to discuss the complexity of Fe ( $\sim 80\%$  Fe or more) and Mo-rich ( $\sim 50\%$  Mo or more) Fe:Mo catalysts, previously addressed phenomenologically. The results are useful for the development of efficient catalysts for nanotube and graphene growth.

## II. METHODS

Investigating the behavior of C in Fe:Mo nanoparticles requires an understanding of the interplay of the various phases of the Fe-Mo-C system at the nanoscale. Determining the thermodynamic stability of different phases in nanoparticles of different sizes with *ab initio* calculations is complicated and computationally expensive. In Refs. 22 and 23 we have developed a simple model called the “size-pressure approximation,” which allows one to estimate the phase diagram at the nanoscale starting from bulk calculations under pressure.

### A. Size-pressure approximation

Surface curvature and superficial dangling bonds on nanoparticles are responsible for internal stress fields which modify the atomic bond lengths. For spherical clusters, the phenomenon can be modeled with the Young-Laplace equation

$\Delta P = 2\gamma/R$  where the parameter  $\gamma$  (surface tension for liquid particles) can be calculated with *ab initio* methods. As a first approximation, where all surface effects that are not included in the curvature are neglected, the study of phase diagrams for spherical particles can be mapped onto the study of phase diagrams for bulk systems under the same pressure that is produced by the curvature. It is important to mention that  $\gamma$  is not a real surface tension but an *ab initio* fitting parameter describing size-induced stress in nanoparticles.<sup>41,42</sup> In our case, it can be assumed that  $\gamma$  is independent of the fraction of Mo (since the best Fe:Mo catalysts are Fe-rich) and C (since the amount of C in the catalytically active particle state is limited).<sup>10,20</sup> Figure 2 of Ref. 22 shows the implementation of the “size-pressure approximation” for Fe nanoparticles. The idea is simple. Two interpolations are involved: “pressure versus distortion” and “distortion versus curvature” are coupled to obtain a relation “pressure versus curvature” [where curvature is  $1/R$  (Ref. 22)]. In more details, the left-hand side of Fig. 2 of Ref. 22 shows the average distortion of the bond length inside the cluster  $\Delta d_{nn} \equiv d_{nn}^0 - d_{nn}$  for a variety of spherical bcc particles as a function of the inverse radius ( $1/R$ ). The right-hand side shows the compression of the bond length as a function of the hydrostatic pressure for the bulk system. Combining the two sets of data yields

$$P \cdot R = 2.46 \text{ GPa} \cdot \text{nm} \quad (\gamma = 1.23 \text{ J/m}^2). \quad (1)$$

Equation (1) is used to deduce the Fe-Mo-C phase diagram of nanoparticles of radius  $R$  from *ab initio* calculations of the bulk material under pressure  $P$ . The parameter  $\gamma$  compares well with the experimental values of the surface tension of bulk Fe at the melting point  $\sim 1.85 \text{ J/m}^2$  (Ref. 43) and  $\sim 1.90$ .<sup>44</sup> For a detailed explanation of the size-pressure approximation, see Ref. 22.

The assumption of  $\gamma$  being independent of the Mo concentration is justified at zero (and low) temperature because molybdenum tends to segregate inside the particle, so that it cannot affect the chemistry and the bonding states at the surface (see Sec. IV). At high temperature, Mo would eventually populate the surface and modify  $\gamma$ . To a first approximation, by linearly interpolating between the experimental values of the surface tension for Fe ( $1.85 \text{ J/m}^2$ ) and Mo ( $2.08 \text{ J/m}^2$ ) (i.e., Vegard’s law), and assuming that the parameter  $\gamma$  follows the same trend, we would obtain a  $\sim 3\%$  increase for  $\gamma$  at the optimal composition  $\text{Fe}_4\text{Mo}$  of the catalyst (see below). Thus, all the estimated radii and diameters deduced from Eq. (1) might be underestimated by a few percent at high temperature (energies and pressures are not affected by  $\gamma$ ). Considering the formidable computational needs required to obtain a concentration-dependent parameterization of  $\gamma$ , we believe that our calculated value is accurate enough to describe the general trends of size-induced thermodynamics and activity.

### B. Quantum mechanical calculations

Simulations are performed with VASP,<sup>45</sup> using projector augmented waves (PAW)<sup>46</sup> and exchange-correlation functionals as parameterized by Perdew, Burke and Ernzerhof

(PBE)<sup>47</sup> for the generalized gradient approximation (GGA). Simulations are carried out with spin polarization, at zero temperature, and without zero-point motion. All structures are fully relaxed. Numerical convergence to within about 2 meV/atom is ensured by enforcing a high-energy cutoff (500 eV) and dense  $\mathbf{k}$ -meshes. The hydrostatic pressure estimated from the pressure-size model is implemented as Pulay stress.<sup>48</sup> Ternary phase diagrams are calculated using bcc-Mo, bcc-Fe and SWCNTs as references (pure Fe phase is taken to be bcc because our simulations are aimed at the low-temperature regime of catalytic growth). The reference SWCNTs have the same diameter of the particle to minimize the curvature-strain energy. In fact, CVD experiments of SWCNT growth from small ( $\sim 0.6$ – $2.1$  nm) particles indicate that the diameter of the nanotube is similar to the diameter of the catalyst particle from which it grows. In some experiments where the growth mechanism is thought to be root-growth, the ratio of the catalyst particle diameter to SWCNT diameter is  $\sim 1.0$ , whereas in experiments involving premade floating catalyst particles this ratio is  $\sim 1.6$ .<sup>49</sup> Formation energies are calculated with respect to decomposition into the nearby stable elements or phases, depending the position in the ternary phase diagram as will be described later.

### C. Competing phases

Pure elements (bcc-Fe, bcc-Mo and SWCNT-C) are included in the calculation in their most stable low-temperature configurations. Other phases are included if they are stable in the temperature range used in CVD growth of SWCNTs or if they have been reported experimentally during or after the growth.<sup>35,36,50</sup> Thus, we include the binaries  $\text{Mo}_2\text{C}$ ,  $\text{Fe}_2\text{Mo}$ , and  $\text{Fe}_3\text{C}$ .<sup>35,36</sup> In addition, since our Fe-rich Mo:Fe experiments were performed with compositions close to  $\text{Fe}_4\text{Mo}$ ,<sup>20</sup> we include a random phase  $\text{Fe}_4\text{Mo}$  generated with the special quasi-random structure formalism (SQS). Bulk ternary carbides, which have been widely investigated due to their importance in alloys and steel, can be considered as derivatives of binary structures with extra C atoms in the interstices of the basic metal alloy structures. Three possible ternary phases have been reported for bulk Fe-Mo-C<sup>51</sup> and they are referred to as  $\tau_1$  ( $M_6\text{C}$ ),  $\tau_2$  ( $M_3\text{C}$ ), and  $\tau_3$  ( $M_{23}\text{C}_6$ ) ( $M$  is the metal species). For simplicity, we follow the same nomenclature.  $\tau_1$  is the well-known  $M_6\text{C}$  phase, which has been observed experimentally as  $\text{Fe}_4\text{Mo}_2\text{C}$  and  $\text{Fe}_3\text{Mo}_3\text{C}$  structures ( $\eta$  carbides).<sup>52,53</sup> Both of these structures are fcc<sup>51</sup> but have different lattice spacings.<sup>54</sup> Our calculations show that the most stable variant  $\tau_1$  is  $\text{Fe}_4\text{Mo}_2\text{C}$ , and we denote it as  $\tau_1$  henceforth.  $\tau_2$  is the  $\text{Fe}_2\text{MoC}$  phase, which has an orthorhombic symmetry distinct from that of  $\text{Fe}_3\text{C}$ .<sup>55,56</sup> We consider  $\text{Fe}_{21}\text{Mo}_2\text{C}_6$ <sup>57</sup> as the third  $\tau_3$  fcc phase. We use the  $\text{Cr}_{23}\text{C}_6$  as the prototype structure<sup>54</sup> where Fe and Mo substitute for Cr. Although  $M_{23}\text{C}_6$  type phases do not appear in the stable C-Fe or C-Mo systems, they have been reported in ternary C-Fe-Mo systems and also appear as transitional products in solid-state reactions.<sup>51</sup> Time-temperature precipitation diagrams of low-C steels have identified  $\tau_2$ - $M_3\text{C}$ ,  $\tau_3$ - $M_{23}\text{C}_6$  and  $\tau_1$ - $M_6\text{C}$  as low-temperature, metastable and stable carbides, respectively.<sup>58</sup> Furthermore,  $\tau_2$ - $M_3\text{C}$  carbides

precipitate quickly due to carbon-diffusion controlled reaction while  $\tau_3$ - $M_{23}\text{C}_6$  carbides precipitate due to substitutional-diffusion controlled reactions. The latter phenomenon, requiring high temperature, longer times and producing metastable phases,<sup>58</sup> is not expected to enhance the catalytic deactivation of the nanoparticle. In summary, as long as the presence of carbon does not lead to excessive formation of  $M_3\text{C}$  ( $\text{Fe}_3\text{C}$  and  $\tau_2$ - $\text{Fe}_2\text{MoC}$ ), the catalyst should remain catalytically active for SWCNT growth.

### III. RESULTS

A structure at a given composition is considered stable (at zero temperature and without zero-point motion) if it has the lowest formation energy of all structures at this composition and, if on the ternary phase diagram, it lies below the *convex hull of tie planes* connecting all the other stable structures.<sup>59–62</sup> Phases lying above the convex hull and with *small* positive formation energies may be favored at higher temperatures due to configurational and vibrational entropy contributions.

We generate the convex hulls with the QCONVEX package.<sup>63</sup> By projecting the three-dimensional (3D) facets onto the two-dimensional (2D) plane we obtain the graphs shown in Fig. 1, where the panels (a), (b), (c) and (d) represent the phase diagrams at zero temperature of nanoparticles of radii  $R \sim \infty, 1.23, 0.62, 0.41$  nm calculated at  $P=0, 2, 4$  and 6 GPa, respectively. Stable and unstable phases are shown as black squares and red dots, respectively. The solid green lines connecting the stable phases are the projected edges of the 3D convex hull “facets.” The numbers 1...8 in panels (c) and (d) indicate the boundary phases’ crossings of our test cases, and are discussed below. Table I shows the formation energies of the various competing phases calculated with respect to decomposition into the appropriate stable components (reported in the “refs.” lines).

In each panel of Fig. 1, the two dotted lines connecting  $\text{Fe}_4\text{Mo}$  and  $\text{FeMo}$  to SWCNT denote the introduction of carbon into the system.  $\text{Fe}_4\text{Mo}$  has been reported to be an effective catalyst composition<sup>20</sup> while  $\text{FeMo}$  represents a hypothetical Fe:Mo particle with a Mo content larger than 33%. This Mo-rich nanoparticle gives low yields of SWCNTs at high temperature.<sup>64</sup> In thermodynamic terms, the growth of SWCNTs has to be considered as nucleation of ordered C, hence the balance between all the competing phases along the dotted lines controls the activity of the particle. The size of the particles has been calculated with the interpolation shown in Fig. 2 of Refs. 22 and 23. We investigate a very small particle with  $N_{\text{atoms}} \sim 40$ , which may be able to support SWCNT growth<sup>65</sup> to explore size-induced stabilization trends. As seen from the zero-temperature phase diagrams in Fig. 1 and the energies in Table I,  $\text{Mo}_2\text{C}$  and  $\text{Fe}_2\text{Mo}$  are stable for bulk materials as well as nanoparticles, while  $\text{Fe}_3\text{C}$  and  $\tau_3$  are stable only for small nanoparticles of radius  $R \sim 0.41$  nm ( $E_f[\text{Fe}_3\text{C}] \leq 0$  for  $R < 0.58$  nm<sup>22,23</sup>).  $\tau_1$  and  $\tau_2$  are always unstable.

#### A. $\text{Fe}_4\text{Mo}$ particles

The most obvious advantage that a  $\text{Fe}_4\text{Mo}$  particle has over a pure Fe particle is that the  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  line

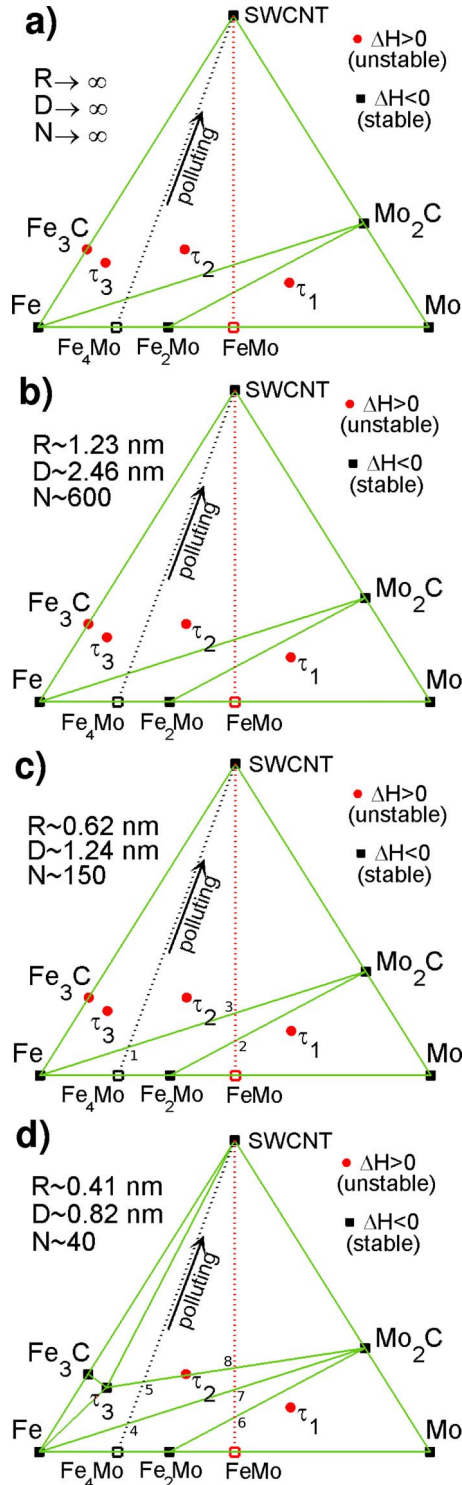


FIG. 1. (Color online) Ternary phase diagram for Fe-Mo-C nanoparticles of  $R \sim \infty, 1.23, 0.62, 0.41$  nm. Notations are explained in the text.

does not intercept any carbide ( $\text{Fe}_3\text{C}, \tau_3, \tau_2$ ). This implies that, at least at low temperatures, there is a surplus of unbounded metal (probably even at high temperatures since the line is far from all of the competing stable phases). This is illustrated in Fig. 2, which shows the fractional evolution of

TABLE I. Formation energies (meV/atom) for binary and ternary phases for nanocatalysts of different sizes:  $\text{Fe}_3\text{C}, \text{Mo}_2\text{C}, \text{Fe}_2\text{Mo}, \tau_1, \tau_2$  and  $\tau_3$ , calculated with respect to the reference species shown in the table.

R (nm)	$\infty$	1.23	0.62	0.41
P (GPa)	0	2	4	6
N (#)	$\infty$	$\sim 600$	$\sim 150$	$\sim 40$
Phases	(meV/at.)	(meV/at.)	(meV/at.)	(meV/at.)
$\text{Fe}_3\text{C}$	48.7	28.9	6.0	-20.9
(refs.)	Fe,C	Fe,C	Fe,C	Fe,C
$\text{Mo}_2\text{C}$	-113.7	-131.3	-154.3	-185.9
(refs.)	Mo,C	Mo,C	Mo,C	Mo,C
$\text{Fe}_2\text{Mo}$	-2.0	-6.9	-14.1	-21.2
(refs.)	Fe,Mo	Fe,Mo	Fe,Mo	Fe,Mo
$\tau_1$	46.8	47.2	47.2	47.2
(refs.)	$\text{Fe}_2\text{Mo}, \text{Mo}_2\text{C}, \text{Mo}$	$\text{Fe}_2\text{Mo}, \text{Mo}_2\text{C}, \text{Mo}$	$\text{Fe}_2\text{Mo}, \text{Mo}_2\text{C}, \text{Mo}$	$\text{Fe}_2\text{Mo}, \text{Mo}_2\text{C}, \text{Mo}$
$\tau_2$	451.7	433.4	413.2	414.6
(refs.)	Fe,C,	Fe,C,	Fe,C,	$\tau_3, \text{C},$
(refs.)	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$
$\tau_3$	61.4	12.4	7.6	-14.4
(refs.)	Fe,C,	Fe,C,	Fe,C,	Fe, $\text{Fe}_3\text{C},$
(refs.)	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$	$\text{Mo}_2\text{C}$

species as one progresses along the  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  line in Fig. 1.

**B. Large  $\text{Fe}_4\text{Mo}$  particles ( $R \geq 0.62$  nm)**

When a large  $\text{Fe}_4\text{Mo}$  particle [ $R \geq 0.62$  nm in Fig. 2(a)] is exposed to carbon feedstock, the  $\text{Mo}_2\text{C}$  phase nucleates by dissociating  $\text{Fe}_2\text{Mo}$ . This is seen for concentrations between  $0 < x_c \leq 0.09$ . The vertical dashed line “1” in the figure indicates the boundary crossing of the  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  pollution path with the  $\text{Fe} \leftrightarrow \text{Mo}_2\text{C}$  edge, as shown in Fig. 1(c). Nucleation of  $\text{Mo}_2\text{C}$  has two consequences: It consumes carbon atoms that are added to the particle by bonding them to molybdenum, and it releases free Fe which is beneficial for the catalytic activity of the cluster (the Fe fraction increases with C concentration in the range  $0 < x_c \leq 0.09$ ). In addition, while forming  $\text{Mo}_2\text{C}$ , carbon does not produce SWCNTs. In fact, we need to saturate the particle in C and enter the region Fe-Mo $_2$ C-SWCNT of the phase diagram in Fig. 1(c) before free carbon is available. Hence, the fraction of C is nonzero only after  $x_c \sim 0.09$  in Fig. 2(a). For  $x_c \leq 0.09$  the free Fe is expected to be on the particle surface since the fraction of free Fe is larger than that of  $\text{Mo}_2\text{C}$  and, as will be presented later, surface energy calculations show that free Fe resides at the surface of the particle whereas the Mo ( $\text{Mo}_2\text{C}$ ) particles are found near the particle core. To conclude, steady-state growth of SWCNTs is possible from large  $\text{Fe}_4\text{Mo}$  particles since free, catalytically active Fe is present, even for  $x_c \geq 0.09$ .

**C. Small  $\text{Fe}_4\text{Mo}$  particles ( $R \leq 0.41$  nm)**

Similarly to the large  $\text{Fe}_4\text{Mo}$  particle, initial exposure of the small  $\text{Fe}_4\text{Mo}$  cluster ( $R \leq 0.41$  nm) to carbon feedstock

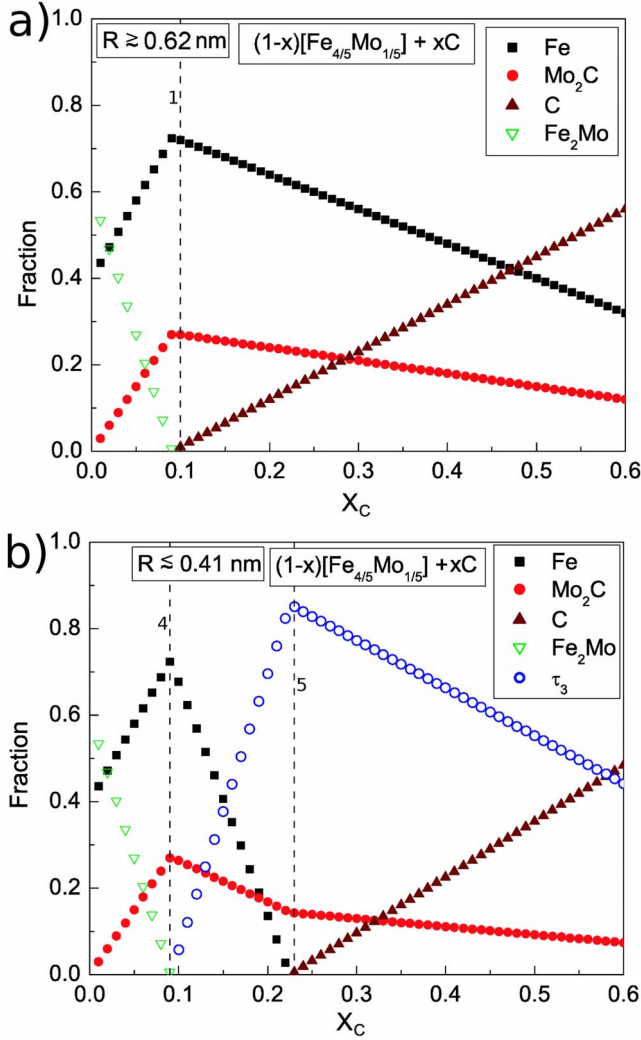


FIG. 2. (Color online) Panel (a) Fraction of species for catalyst composition  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  and nanocatalyst of  $R \geq 0.62$  nm. The dashed vertical line, labeled as “1,” represents  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  crossing the boundary phase  $\text{Fe} \leftrightarrow \text{Mo}_2\text{C}$ , as shown in Fig. 1(c). Panel (b) Fraction of species for catalyst composition  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  and nanocatalyst of  $R \leq 0.41$  nm. The dashed vertical lines, labeled as “4” and “5,” represent  $[\text{Fe}_{4/5}\text{Mo}_{1/5}]_{1-x}\text{-C}_x$  crossing the boundary phases  $\text{Fe} \leftrightarrow \text{Mo}_2\text{C}$  and  $\tau_3 \leftrightarrow \text{Mo}_2\text{C}$ , as shown in Fig. 1(d).

promotes  $\text{Mo}_2\text{C}$  nucleation by dissociating  $\text{Fe}_2\text{Mo}$  and releasing free Fe. However, for  $x_c \geq 0.09$  the scenario is different. In fact, while the fraction of free Fe increases between  $0 < x_c \leq 0.09$  [left of line “4” in Fig. 2(b)], it reduces to zero between  $0.09 \leq x_c \leq 0.23$  [points “4” and “5” of Fig. 1(d)]. Concomitantly, the carbide  $\tau_3$  nucleates by bonding carbon atoms that are added to the particle and hence eliminating the amount of free Fe that was released during the growth of  $\text{Mo}_2\text{C}$ . Similarly to Fe-C particles<sup>22,23</sup> with the carbide  $\text{Fe}_3\text{C}$ , the nucleation of  $\tau_3$  is clearly detrimental for SWCNT growth. In fact, the lack of simultaneous presence of free Fe and excess C causes the particle to be catalytically inactive. However, the different formation energies of  $\tau_3$  and  $\text{Fe}_3\text{C}$  lead to different size thresholds between the two regimes (growth/no-growth). In Refs. 22 and 23 we estimated that the mini-

um radius needed for a low-temperature Fe particle to be active is  $R_{\min}^{\text{Fe}} \sim 0.58$  nm. For the  $\text{Fe}_4\text{Mo}$  case, by interpolating the energies of  $\tau_3$  reported in Table I, by determining  $P$  at which  $E[\tau_3(P)] = 0$ , and by using the size/pressure approximation relation (1), we obtain  $R_{\min}^{\text{Fe}_4\text{Mo}} \sim 0.52$  nm (note that for  $0.52 < R < 0.58$  nm,  $\text{Fe}_3\text{C}$  is stable while  $\tau_3$  is unstable: the catalytic growth is Mo-type at a temperature higher than that of  $\text{Fe}_4\text{Mo}$ ). The reduced size advantage  $R_{\min}^{\text{Fe}_4\text{Mo}} < R_{\min}^{\text{Fe}}$  agrees with experimental results that show that nanotubes grown from Fe-rich Fe:Mo catalysts are thinner than those grown from pure Fe particles.<sup>20</sup> As pointed out before,<sup>22,23</sup> the presence of  $R_{\min}^{\text{Fe}_4\text{Mo}}$  defines three possible thermodynamic scenarios: steady-state-, limited- and no-growth for  $R > R_{\min}^{\text{Fe}_4\text{Mo}}$ ,  $R \sim R_{\min}^{\text{Fe}_4\text{Mo}}$  and  $R < R_{\min}^{\text{Fe}_4\text{Mo}}$ , respectively. It is worth mentioning that as long as the concentration of Mo in Fe-rich Fe:Mo clusters is chosen to be slightly larger than that of  $\tau_3$  we obtain  $R_{\min}^{\text{Fe:Mo}} < R_{\min}^{\text{Fe}}$ .

#### D. FeMo test particles

To address the poor growth capability of Mo-rich Fe:Mo catalysts (low yield of SWCNTs at high temperature<sup>64</sup>) we repeated the above analysis with an equiconcentration FeMo particle. For simplicity, we choose to avoid dealing with the  $\text{Mo}_{5.1}\text{Fe}_{7.9}$  phase reported at low temperature<sup>35,36</sup> because, as our results show, its presence does not affect the pollution mechanism discussion.

#### E. Large FeMo particles ( $R \geq 0.62$ nm)

Contrary to  $\text{Fe}_4\text{Mo}$  particles, large FeMo clusters contain ample amounts of molybdenum capable of nucleating  $\text{Mo}_2\text{C}$ . Irrespective of whether  $\text{Mo}_{5.1}\text{Fe}_{7.9}$  is included in the discussion, both free Fe and an excess of C are present for  $x_c \geq 0.20$  [line “3” in Fig. 3(a) and phase boundary “3” in Fig. 1(c)]. Hence, the growth of SWCNTs is possible for  $x_c \geq 0.20$ . However, since the fraction of Fe is considerably smaller than that of  $\text{Mo}_2\text{C}$ , the activity of the whole FeMo particle is drastically smaller than that of the  $\text{Fe}_4\text{Mo}$  catalyst. Thus, although it is possible to grow SWCNTs from large FeMo clusters, the expected yield is low and the synthesis temperature needs to be high (to overcome the reduced fraction of catalytically active free Fe), as experimentally reported.<sup>64</sup> Thermodynamically, an excess of C and an amount of free Fe for  $x_c \geq 0.20$  guarantees the existence of steady-state growth of SWCNTs (albeit slow and inefficient).

#### F. Small FeMo particles ( $R \leq 0.41$ nm)

Small FeMo particles are similar to small  $\text{Fe}_4\text{Mo}$  clusters. Nucleation of  $\tau_3$  and the absence of free Fe and excess C means that the particle is catalytically inactive. The minimum cluster radius  $R_{\min}^{\text{FeMo}}$  is the same as  $R_{\min}^{\text{Fe}_4\text{Mo}}$  because this quantity is determined by the stabilization of the same  $\tau_3$  phase. Thus, there are three possible thermodynamic scenarios that are similar to the previous case, i.e., low-yield steady-state-, low-yield limited- and no-growth for  $R > R_{\min}^{\text{FeMo}}$ ,  $R \sim R_{\min}^{\text{FeMo}}$  and  $R < R_{\min}^{\text{FeMo}}$ , respectively. The analysis can be extended to different fractions of Fe and Mo and the results are summarized in Table II.

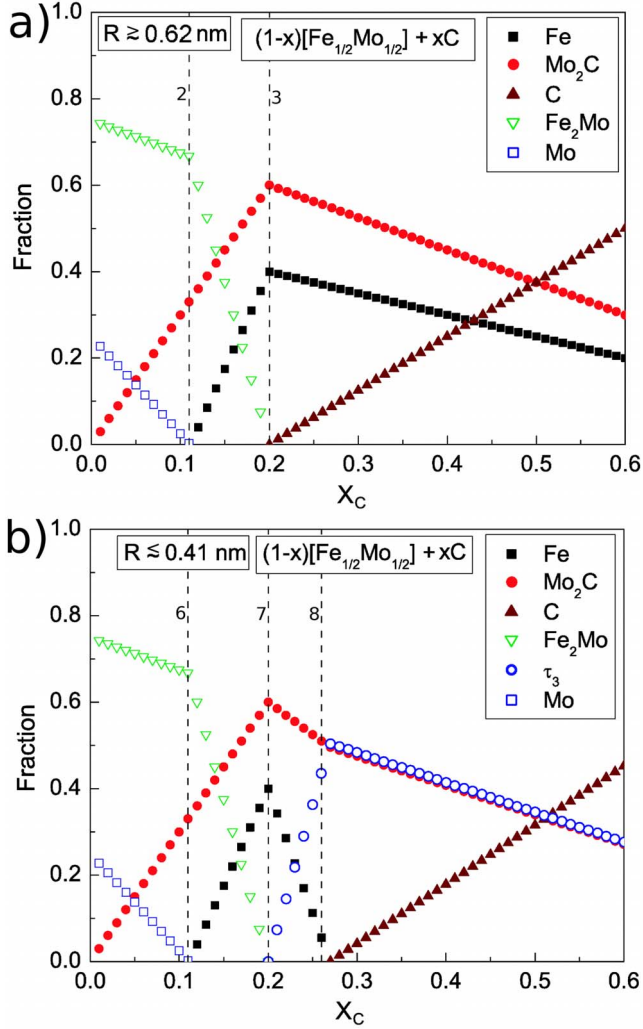


FIG. 3. (Color online) Panel (a) Fraction of species for catalyst composition  $[\text{Fe}_{1/2}\text{Mo}_{1/2}]_{1-x}\text{-C}_x$  and nanocatalyst of  $R \geq 0.62$  nm. The dashed vertical lines, labeled as “2” and “3,” represent  $[\text{Fe}_{1/2}\text{Mo}_{1/2}]_{1-x}\text{-C}_x$  crossing the boundary phases  $\text{Fe}_2\text{Mo} \leftrightarrow \text{Mo}_2\text{C}$  and  $\text{Fe} \leftrightarrow \text{Mo}_2\text{C}$ , as shown in Fig. 1(c). Panel (b) Fraction of species for catalyst composition  $[\text{Fe}_{1/2}\text{Mo}_{1/2}]_{1-x}\text{-C}_x$  and nanocatalyst of  $R \leq 0.41$  nm. The dashed vertical lines, labeled as “6,” “7” and “8,” represent  $[\text{Fe}_{1/2}\text{Mo}_{1/2}]_{1-x}\text{-C}_x$  crossing the boundary phases  $\text{Fe}_2\text{Mo} \leftrightarrow \text{Mo}_2\text{C}$ ,  $\text{Fe} \leftrightarrow \text{Mo}_2\text{C}$ , and  $\tau_3 \leftrightarrow \text{Mo}_2\text{C}$ , as shown in Fig. 1(d).

#### IV. SURFACE ENERGIES

The chemical species that are found on the surface of a Fe:Mo cluster with coexisting Fe and  $\text{Fe}_2\text{Mo}$  phases is the species that has the lowest surface energy per unit area,  $\gamma$ . This quantity can be obtained from the relation  $\gamma = [E_n - nE_B]/2A$ , where  $E_n$  is the total energy of a  $n$ -layer slab,  $E_B$

TABLE II. Scenarios of thermodynamically allowed SWCNT growth modes for different sizes and compositions of Fe:Mo catalysts.  $x_{\tau_3}^*$  is the concentration of Mo in  $\tau_3$  removed of all C.  $R_{\min}^{\text{FeMo}} = 0.52 < R_{\min}^{\text{Fe}} = 0.58$  nm from Refs. 22 and 23.

Catalyst	Fe-rich $\text{Fe}_{1-x}\text{Mo}_x$	Mo-rich $\text{Fe}_{1-x}\text{Mo}_x$
$x$ range	$x_{\tau_3}^* < x < 1/3$	$x > 1/3$
(Test)	$\text{Fe}_4\text{Mo}$	$\text{FeMo}$
$R > R_{\min}^{\text{FeMo}}$	Steady-state growth	Low-yield steady-state growth
$R \sim R_{\min}^{\text{FeMo}}$	Limited growth	Low-yield limited growth
$R < R_{\min}^{\text{FeMo}}$	No growth	No growth

is the total energy of a single bulk layer, and  $A$  is the surface of the unit cell (the factor 2 accounts for the creation of two surfaces).<sup>66</sup> For bcc-Fe, we construct slabs with the lowest surface energy (1 1 0) termination.<sup>66</sup> Surface termination for the ordered  $\text{Fe}_2\text{Mo}$  phase has not been reported in experiments or in theoretical works.<sup>67</sup> By using the package AFLOW, which performs high-throughput simultaneous optimization of planar density (high) and number of broken bonds (low),<sup>66,68</sup> we find that the closed packed (0 0 4) plane of  $\text{Fe}_2\text{Mo}$  has the lowest energy. We obtain  $\gamma_{\text{Fe}}^{(110)} = 2.44$  J/m<sup>2</sup> and  $\gamma_{\text{Fe}_2\text{Mo}}^{(004)} = 3.12$  J/m<sup>2</sup>. The relation  $\gamma_{\text{Fe}} < \gamma_{\text{Fe}_2\text{Mo}}$  indicates that Mo will not be at the cluster surface. Thus, in Fe-rich Fe:Mo nanocatalysts, Fe covers as much surface area as possible, and the aforementioned nucleation of  $\text{Mo}_2\text{C}$  caused by C pollution is advantageous for the activity of the particle, by releasing free Fe on the surface.

#### V. CONCLUSIONS

In this paper we discuss the role of Mo in the thermodynamic properties of Fe:Mo nanocatalysts by using the size-pressure approximation and *ab initio* modeling. We show that for both Fe-rich ( $\sim 80\%$  Fe or more) and Mo-rich ( $\sim 50\%$  Mo or more) Fe:Mo clusters, the presence of carbon causes nucleation of  $\text{Mo}_2\text{C}$  which enhances the activity of the particle by releasing free Fe. With respect to pure Fe catalysts, the addition of Mo (up to small concentrations) decreases the size of the smallest catalyst needed for low-temperature CVD steady-state growth to  $R_{\min}^{\text{Fe:Mo}} \sim 0.52$  nm.

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